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PTO/SB/21 (12-87)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	08/888,609
		Filing Date	July 7, 1997
		First Named Inventor	Lutz Heuer, et al.
		Group Art Unit	1616
		Examiner Name	A. Robinson
Total Number of Pages in This Submission		Attorney Docket Number	Bayer 8890.3-KGB

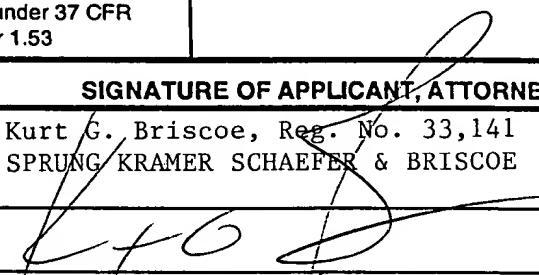
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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt G. Briscoe, Reg. No. 33,141 SPRUNG KRAMER SCHAEFER & BRISCOE
Signature	
Date	January 19, 1999

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **[ ]**

Typed or printed name	
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 240.00)

Complete If Known	
Application Number	08/888,609
Filing Date	July 7, 1997
First Named Inventor	Lutz Heuer
Group Art Unit	1616
Examiner Name	A. Robinson
Attorney Docket Number	Bayer 8890.3-KGB

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-1445  
Deposit Account Name SPRUNG KRAMER SCHAEFER & BRISCOE

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

## 1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 790	201 395	Utility filing fee			
106 330	206 165	Design filing fee			
107 540	207 270	Plant filing fee			
108 790	208 395	Reissue filing fee			
114 150	214 75	Provisional filing fee			
SUBTOTAL (1) (\$)					

## 2. CLAIMS

Total Claims	-20 =	Extra	Fee from below	Fee Paid
Independent Claims	- 3 =			
Multiple Dependent Claims		X	=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
103 22	203 11	Claims in excess of 20			
102 82	202 41	Independent claims in excess of 3			
104 270	204 135	Multiple dependent claim			
109 82	209 41	Reissue independent claims over original patent			
110 22	210 11	Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)					

## FEE CALCULATION (continued)

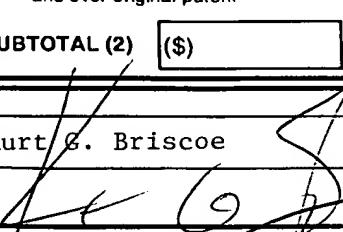
## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	240.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 240.00)

SUBMITTED BY				Complete (if applicable)
Typed or Printed Name	Kurt S. Briscoe			Reg. Number 33,141
Signature		Date 1/19/99	Deposit Account User ID	02-1445

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Lutz HEUER, et al.  
Serial No. : 08/888,609  
Filed : July 7, 1997  
For : MICROBICIDAL COMPOSITIONS  
Art Unit : 1616  
Examiner : A. Robinson

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Hon. Asst. Commissioner For Patents  
Washington, D. C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 CFR § 1.56 and § 1.97(c), Applicants wish to call the attention of the Examiner to the references listed below.

- (1) U.S. 4,994,480, Feb. 19, 1991, Büchel, et al.
- (2) E.P. 478,195, April 1, 1992
- (3) NL 8,701,282, Jan. 4, 1988

Copies of these references are submitted herewith along with Form PTO-1449.

The undersigned hereby certifies that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

The Commissioner is hereby authorized to charge the fee for consideration of this information disclosure statement, which is set forth in § 1.17(p), to Deposit Account No. 02-1445.

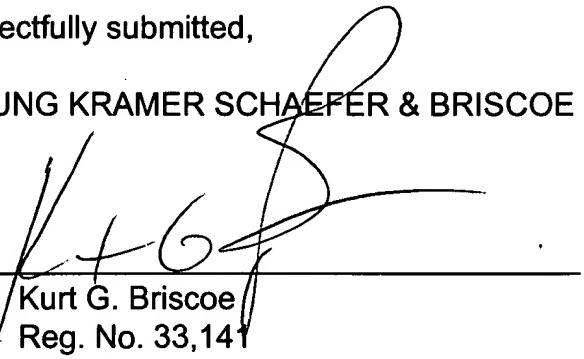
References (1)-(3) are cited in the Search Report issued by the European Patent Office. A copy of this Search Report is also enclosed. For the Examiner's information, the designation "X" on the Search Report is translated to mean the document is "particularly relevant if taken alone"; "Y" means "particularly relevant if combined with another document of the same category"; "P" means "intermediate document"; and "D" means "document cited in the application". There is no English equivalent for reference (3). Applicants enclose a copy of a patent family search and have highlighted the abstract. The remaining references listed on the Search Report have been discussed in prior Information Disclosure Statements and cited on Form PTO 1449. Copies of these references are not being provided.

Consideration of the foregoing in relation to this application is  
respectfully requested.

Respectfully submitted,

SPRUNG KRAMER SCHAEFER & BRISCOE

By

  
Kurt G. Briscoe  
Reg. No. 33,141

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